



PATENT APPLICATION *DFW*

IN THE U.S. PATENT AND TRADEMARK OFFICE

April 30, 2008

Applicants: Hans-Peter FIEDLER et al

For: POLYCYCLIC MACROLACTONES

Serial No.: 10/574 237/ Group: 1632

Confirmation No.: 5895

Filed: August 23, 2007 Examiner: Unknown

International Application No.: PCT/EP2004/010661

International Filing Date: September 23, 2004

Atty. Docket No.: 5000.P0096US

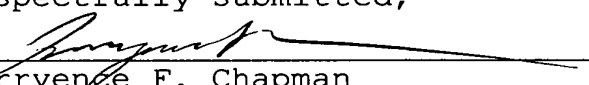
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**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

The pending Filing Receipt contains an error. Please see the attached red-corrected photocopy of the Filing Receipt and the highlighted portion of the attached copy of the Declaration.

Respectfully submitted,

  
Terryence F. Chapman

TFC/smd

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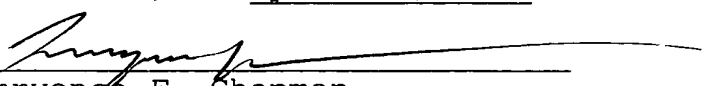
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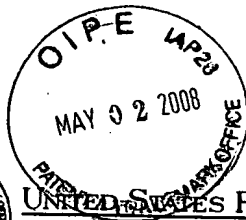
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 30, 2008.

  
Terryence F. Chapman



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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/574,237	08/23/2007	1632	1530	5000.P0096US	26	4

CONFIRMATION NO. 5895

23474

FLYNN THIEL BOUTELL & TANIS, P.C.  
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DHT \_\_\_\_\_ USB \_\_\_\_\_ TFC \_\_\_\_\_  
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## FILING RECEIPT



MAR 03 2008

Date Mailed: 02/28/2008

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FILE \_\_\_\_\_

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

## Applicant(s)

Should be Hans → Hans-Peter Fiedler, Tuebingen, GERMANY;  
Roderich Suebmuth, Tuebingen, GERMANY;  
Hana Zaehner, Bern, SWITZERLAND;  
Alan Bull, Canterbury Kent, UNITED KINGDOM;

Power of Attorney: The patent practitioners associated with Customer Number 23474

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/10661 09/23/2004

## Foreign Applications

GERMANY 103 47 472.2 10/01/2003

GERMANY 103 53 300.1 11/11/2003

If Required, Foreign Filing License Granted: 02/27/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/574,237**

Projected Publication Date: 06/05/2008

Non-Publication Request: No

Early Publication Request: No

**Title**

Polycyclic Macrolactones

**Preliminary Class**

435

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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# Declaration and Power of Attorney for Patent Application Erklärung für Patentanmeldungen mit Vollmacht

## German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

daß mein Wohnsitz, meine Postanschrift und meine Staatsangehörigkeit den im nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, daß ich nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent für die Erfindung mit folgendem Titel beantragt wird:

deren Beschreibung hier beigefügt ist, es sei denn (in diesem Falle Zutreffendes bitte ankreuzen), diese Erfindung

- ☐ wurde angemeldet am \_\_\_\_\_  
unter der US-Anmeldenummer oder unter der  
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\_\_\_\_\_ und am \_\_\_\_\_  
abgeändert (falls  
zutreffend).

Ich bestätige hiermit, daß ich den Inhalt der oben angegebenen Patentanmeldung, einschließlich der Ansprüche, die eventuell durch einen oben erwähnten Zusatzantrag abgeändert wurde, durchgesehen und verstanden habe.

Ich erkenne meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Titel 37, Code of Federal Regulations, § 1.56 von Belang sind.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

POLYCYCLIC MACROLACTONES

the specification of which is attached hereto unless the following box is checked:

- ☒ was filed on September 23, 2004  
as United States Application Number or PCT  
International Application Number  
PCT/EP2004/010661 and was amended on  
\_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

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## German Language Declaration

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Prior Foreign Applications  
(Frühere ausländische Anmeldungen)

103 47 472.2	Germany
(Number)	(Country)
(Number)	(Land)
103 53 300.1	Germany
(Number)	(Country)
(Number)	(Land)

Ich beanspruche hiermit Prioritätsvorteile unter Title 35, US-Code, § 119(e) aller US-Hilfsanmeldungen wie unten aufgezählt.

(Application No.)	(Filing Date)
(Aktenzeichen)	(Anmeldetag)

(Application No.)	(Filing Date)
(Aktenzeichen)	(Anmeldetag)

Ich beanspruche hiermit die mir unter Title 35, US-Code, § 120 zustehenden Vorteile aller unten aufgeführten US-Patentanmeldungen bzw. § 365(c) aller PCT internationalen Anmeldungen, welche die Vereinigten Staaten von Amerika benennen, und erkenne, insofern der Gegenstand eines jeden früheren Anspruchs dieser Patentanmeldung nicht in einer US-Patentanmeldung, bzw. PCT internationalen Anmeldung in in einer gemäß dem ersten Absatz von Title 35, US-Code, § 112 vorgeschriebenen Art und Weise offenbart wurde, meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Title 37, Code of Federal Regulations, § 1.56 von Belang sind und die im Zeitraum zwischen dem Anmeldetag der früheren Patentanmeldung und dem nationalen oder im Rahmen des Vertrags über die Zusammenarbeit auf dem Gebiet des Patentwesens (PCT) gültigen internationalen Anmeldetags bekannt geworden sind.

(Application No.)	(Filing Date)
(Aktenzeichen)	(Anmeldetag)

(Application No.)	(Filing Date)
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Ich erkläre hiermit, daß alle in der vorliegenden Erklärung von mir gemachten Angaben nach bestem Wissen und Gewissen der Wahrheit entsprechen, und ferner daß ich diese eidesstattliche Erklärung in Kenntnis dessen ablege, daß wissentlich und vorsätzlich falsche Angaben oder dergleichen gemäß § 1001, Title 18 des US-Code strafbar sind und mit Geldstrafe und/oder Gefängnis bestraft werden können und daß derartige wissentlich und vorsätzlich falsche Angaben die Rechtswirksamkeit der vorliegenden Patentanmeldung oder eines aufgrund deren erteilten Patentes gefährden können.

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed  
Priorität nicht beansprucht

01/Oct./2003	<input type="checkbox"/>
(Day/Month/Year Filed)	
(Tag/Monat/Jahr der Anmeldung)	

11/Nov./2003	<input type="checkbox"/>
(Day/Month/Year Filed)	
(Tag/Monat/Jahr der Anmeldung)	

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Status) (patented, pending, abandoned)
(Status) (patentiert, schwebend, aufgegeben)

(Status) (patented, pending, abandoned)
(Status) (patentiert, schwebend, aufgegeben)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## German Language Declaration

### POWER OF ATTORNEY

I hereby appoint the Practitioners associated with the Customer Number: **23474** as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize the correspondence address associated with the above-mentioned Customer Number.

<b>Vor- und Zuname des einzigen oder ersten Erfinders</b>	Full name of sole or first inventor Hans-Peter FIEDLER
<b>Unterschrift des Erfinders</b> <b>Datum</b>	Inventor's signature <i>H.P. Fiedler</i> Date <i>29/05/2006</i>
<b>Wohnsitz</b>	Residence Tuebingen, Fed. Rep. of Germany
<b>Staatsangehörigkeit</b>	Citizenship Germany
<b>Postanschrift</b>	Post Office Address Appenbergstrasse 29, 72072 Tuebingen Fed. Rep. of Germany
<b>Vor- und Zuname des zweiten Miterfinders (falls zutreffend)</b>	Full name of second joint inventor, if any Roderich SUEBMUTH
<b>Unterschrift des zweiten Erfinders</b> <b>Datum</b>	Second Inventor's signature                      Date
<b>Wohnsitz</b>	Residence Tuebingen, Fed. Rep. of Germany
<b>Staatsangehörigkeit</b>	Citizenship Germany
<b>Postanschrift</b>	Post Office Address Sybelstrasse 69 10629 Berlin Fed. Rep. of Germany

(Im Falle dritter und weiterer Miterfinder sind die entsprechenden Informationen und Unterschriften hinzuzufügen.)

(Supply similar information and signature for third and subsequent joint inventors.)

## German Language Declaration

### POWER OF ATTORNEY

I hereby appoint the Practitioners associated with the Customer Number: **23474** as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize the correspondence address associated with the above-mentioned Customer Number.

<b>Vor- und Zuname des einzigen oder ersten Erfinders</b>	Full name of sole or first inventor Hans-Peter FIEDLER
<b>Unterschrift des Erfinders</b> <b>Datum</b>	<b>Inventor's signature</b> <b>Date</b>
<b>Wohnsitz</b>	Residence Tuebingen, Fed. Rep. of Germany
<b>Staatsangehörigkeit</b>	Citizenship Germany
<b>Postanschrift</b>	Post Office Address Appenbergstrasse 29, 72072 Tuebingen Fed. Rep. of Germany
<b>Vor- und Zuname des zweiten Miterfinders (falls zutreffend)</b>	Full name of second joint inventor, if any Roderich SUEBMUTH
<b>Unterschrift des zweiten Erfinders</b> <b>Datum</b>	<b>Second Inventor's signature</b> <b>Date</b> <i>Roderich SUEBMUTH</i> May 17 <sup>th</sup> 2006
<b>Wohnsitz</b>	Residence Tuebingen, Fed. Rep. of Germany
<b>Staatsangehörigkeit</b>	Citizenship Germany
<b>Postanschrift</b>	Post Office Address Sybelstrasse 69 10629 Berlin Fed. Rep. of Germany

(Im Falle dritter und weiterer Miterfinder sind die entsprechenden Informationen und Unterschriften hinzuzufügen.)

(Supply similar information and signature for third and subsequent joint inventors.)



Voller Name des einzigen oder ursprünglichen Erfinders:		Full name of <u>third</u> inventor Hans ZAEHNER	
Unterschrift des Erfinders	Datum	Third signature <i>Hans Zaehner</i>	Date 18. May 2016
Wohnsitz		Residence Bern, Switzerland	
Staatsangehörigkeit		Citizenship Switzerland	
Postanschrift		Post Office Address Kistlerweg 7, CH-3006 Bern Switzerland	
Voller Name des zweiten Miterfinders (falls zutreffend)		Full name of fourth joint inventor, if any Alan BULL	
Unterschrift des Erfinders	Datum	Fourth inventor's signature	Date
Wohnsitz		Residence Canterbury Kent, United Kingdom	
Staatsangehörigkeit		Citizenship United Kingdom	
Postanschrift		Post Office Address University of Kent, Res. School of Biosciences, Canterbury Kent KT CT2 7NJ United Kingdom	

Voller Name des einzigen oder ursprünglichen Erfinders:		Full name of <u>fifth</u> inventor	
Unterschrift des Erfinders	Datum	Fifth signature	Date
Wohnsitz		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	
Voller Name des zweiten Miterfinders (falls zutreffend)		Full name of sixth joint inventor, if any	
Unterschrift des Erfinders	Datum	Sixth inventor's signature	Date
Wohnsitz		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	

0388

Voller Name des einzigen oder ursprünglichen Erfinders:		Full name of <u>third</u> inventor Hans ZAEHNER	
Unterschrift des Erfinders	Datum	Third signature	Date
Wohnsitz		Residence Bern, Switzerland	
Staatsangehörigkeit		Citizenship Switzerland	
Postanschrift		Post Office Address Kistlerweg 7, CH-3006 Bern Switzerland	
Voller Name des zweiten Miterfinders (falls zutreffend)		Full name of fourth joint inventor, if any Alan BULL	
Unterschrift des Erfinders	Datum	Fourth inventor's signature <i>Alan Bull</i>	Date 25-5-2006
Wohnsitz		Residence Canterbury Kent, United Kingdom	
Staatsangehörigkeit		Citizenship United Kingdom	
Postanschrift		Post Office Address University of Kent, Res. School of Biosciences, Canterbury Kent KT CT2 7NJ United Kingdom	

Voller Name des einzigen oder ursprünglichen Erfinders:		Full name of <u>fifth</u> inventor	
Unterschrift des Erfinders	Datum	Fifth signature	Date
Wohnsitz		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	
Voller Name des zweiten Miterfinders (falls zutreffend)		Full name of <u>sixth</u> joint inventor, if any	
Unterschrift des Erfinders	Datum	Sixth inventor's signature	Date
Wohnsitz		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	

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